

File No.: 9-13528-197US

September 15, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Peter PHELPS et al.
Serial No.: Not yet assigned.
Filed: Concurrently herewith.
Title: METHOD AND APPARATUS FOR PROVIDING GRADES
OF SERVICE FOR UNPROTECTED TRAFFIC IN AN
OPTICAL NETWORK
Agent of Record: Max R. Wood **Tel:** (613) 780-8681

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Commissioner for Patents
United States Patent and Trademark Office
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Sir:

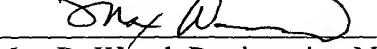
INFORMATION DISCLOSURE STATEMENT
PRIOR TO FIRST OFFICE ACTION

Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,
PETER PHELPS et al.

By: 
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MRW:ma
Encls.

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| Form PTO-1449 (Rev.7-80) | U.S. Department of Commerce Patent & Trademark Office | ATTY. DOCKET NO. 9-13528-197US | SERIAL NO. Not yet assigned. |
| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | | APPLICANT Peter PHELPS et al. | |
| | | FILING DATE: Concurrently herewith. | GROUP |
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| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date |
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | AR | Paper "Shared Mesh Restoration: A Simulation Study", Mukul Goyal et al., CIS Dept., The Ohio State University, DL395. |
| | AS | Internet-Draft, February 2003, SDH/SONET. |
| | AT | Paper "Fast Restoration in a Mesh Network of Optical Cross-connects", Robert D. Doverspike et al., AT&T Labs (Research). |

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|---|-----------------|
| Examiner | Date considered |
| *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |